

Jammu and Kashmir Bank Limited

Corporate Headquarters
M A Road, Srinagar 190001
Kashmir, India
CIN: L65110JK1938SGC000048

T +91 (0)194 248 3775 W www.jkbank.com
F +91 (0)194 248 1928 E board.sectt@jkbmail.com



Board Secretariat

Ref:-JKB/BS/F3652/2023/282
Date: 27th February, 2023

National Stock Exchange of India Limited
Exchange Plaza 5th Floor
Plot No. C/1 G-Block
Bandra Kurla Complex
Bandra (E) Mumbai - 400 051
Symbol: J&KBANK

The BSE Limited
Phiroze Jeejeebhoy Towers
Dalal street
Mumbai - 400 001
Scrip Code: 532209

SUB:- REGULATION 9 OF SEBI (PROHIBITION OF INSIDER TRADING) REGULATIONS, 2015

Dear Sirs,

Pursuant to Regulation 9 of SEBI (Prohibition of Insider Trading) Regulations, 2015, we are enclosing herewith an instance of violation of code of conduct on account of dealing in shares of Bank during window closure period.

This is for your information.

Thanking you

Yours faithfully
For Jammu and Kashmir Bank Limited

(Mohammad Shafi Mir)
Company Secretary



Report by Jammu and Kashmir Bank Limited for violation related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.

S No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	Jammu and Kashmir Bank Limited
2	Please tick appropriate checkbox Reporting in capacity of : <input type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3	A. Details of Designated Person (DP)	
	i. Name of the DP	Gagan Sharma
	ii. PAN of the DP	CHWPS3193K
	iii. Designation of DP	Manager, Treasury Operations
	iv. Functional Role of DP	Maintenance of SLR and CRR threshold limits
	v. Whether DP is Promoter or belongs to Promoter Group	No
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	Sonika Devi
	ii. PAN of the immediate relative of DP	AYPPD8784R
	C. Details of transaction(s)	
	i. Name of the scrip	NSE Symbol: J&KBANK BSE Scrip Code: 532209
	ii. No of shares traded and value (Rs.) (Date- wise)	i. 355 shares (face value Re. 1) Traded Value Rs. 20,520.00 Date: January 03, 2023 ii. 11 shares (face value Re. 1) Traded Value Rs. 616.55 Date: January 04, 2023 iii. 20 shares (face value Re. 1) Traded Value Rs. 1,118.00 Date: January 05, 2023 iv. 17 shares (face value Re. 1) Traded Value Rs. 935.00 Date: January 06, 2023 v. 55 shares (face value Re. 1) Traded Value Rs. 3,011.25 Date: January 10, 2023



Board Secretariat

S No.	Particulars	Details
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable
4	Details of violations observed under Code of Conduct	Dealing in shares of Bank during window closure period
5	Action taken by Listed company	Caution letter issued
6	Reasons recorded in writing for taking action stated above	The connected person has her own sources of funds that are being utilized for share trading. Further, on studying the trades made by the Connected Person of the Designated Employee, all the trades made are miniscule and from the nature of trades executed, it seems that the connected person is learning the ins and outs of share trading. Further, no Unpublished Price Sensitive Information has been shared by the Designated Employee and accordingly only a caution letter has been issued wherein a counselling session shall be held for the Designated Employee to ensure that no such incident is repeated.
7	Details of the previous instances of violations, if any, since last financial year	Nil
8	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Not Applicable

**Board Secretariat**

S No.	Particulars	Details																						
	ii. Details of transfer/payment In case of Online: <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%;">Particulars</th> <th style="width: 50%;">Details</th> </tr> </thead> <tbody> <tr> <td>Name of the transferor</td> <td></td> </tr> <tr> <td>Bank Name, branch and Account number</td> <td></td> </tr> <tr> <td>UTR/Transaction reference Number</td> <td></td> </tr> <tr> <td>Transaction date</td> <td></td> </tr> <tr> <td>Transaction Amount (in Rs.)</td> <td></td> </tr> </tbody> </table> In case of Demand Draft (DD): <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 50%;">Particulars</th> <th style="width: 50%;">Details</th> </tr> </thead> <tbody> <tr> <td>Bank Name and branch</td> <td></td> </tr> <tr> <td>DD Number</td> <td></td> </tr> <tr> <td>DD date</td> <td></td> </tr> <tr> <td>DD amount (in Rs.)</td> <td></td> </tr> </tbody> </table>	Particulars	Details	Name of the transferor		Bank Name, branch and Account number		UTR/Transaction reference Number		Transaction date		Transaction Amount (in Rs.)		Particulars	Details	Bank Name and branch		DD Number		DD date		DD amount (in Rs.)		Not Applicable
Particulars	Details																							
Name of the transferor																								
Bank Name, branch and Account number																								
UTR/Transaction reference Number																								
Transaction date																								
Transaction Amount (in Rs.)																								
Particulars	Details																							
Bank Name and branch																								
DD Number																								
DD date																								
DD amount (in Rs.)																								
9	Any other relevant information	Nil																						

Yours faithfully,

Sd/-

Mohammad Shafi Mir
Compliance Officer

PAN: ALKPM5652R

Email ID: shafi.mir@jkbmail.com

Date: Srinagar

Place: February 27, 2023