



## Board Secretariat

Ref:- JKB/BS/F3652/2023/451  
Date: 22<sup>nd</sup> August, 2023

**National Stock Exchange of India Limited**  
Exchange Plaza 5<sup>th</sup> Floor  
Plot No. C/1 G-Block  
Bandra Kurla Complex  
Bandra (E) Mumbai - 400 051  
Symbol: J&KBANK

**The BSE Limited**  
Phiroze Jeejeebhoy Towers  
Dalal street  
Mumbai - 400 001  
Scrip Code: 532209

**SUB:- REGULATION 9 OF SEBI (PROHIBITION OF INSIDER TRADING) REGULATIONS, 2015**

Dear Sirs,

Pursuant to Regulation 9 of SEBI (Prohibition of Insider Trading) Regulations, 2015, we are enclosing herewith an instance of violation of code of conduct on account of dealing in shares of Bank during window closure period.

This is for your information.

Thanking you

**Yours faithfully**  
**For Jammu and Kashmir Bank Limited**

**(Mohammad Shafi Mir)**  
**Company Secretary**

## Board Secretariat



**Report by Jammu and Kashmir Bank Limited for violation related to Code of Conduct under SEBI (Prohibition of Insider Trading) Regulations, 2015.**

S No.	Particulars	Details
1	Name of the listed company/ Intermediary/Fiduciary	Jammu and Kashmir Bank Limited
2	Please tick appropriate checkbox Reporting in capacity of : <input type="checkbox"/> Listed Company <input type="checkbox"/> Intermediary <input type="checkbox"/> Fiduciary	Listed Company
3	<b>A. Details of Designated Person (DP)</b>	
	i. Name of the DP	Dr. Altaf Ahmed
	ii. PAN of the DP	CRUPA4181R
	iii. Designation of DP	Senior Manager
	iv. Functional Role of DP	Economist
	v. Whether DP is Promoter or belongs to Promoter Group	No
	<b>B. If Reporting is for immediate relative of DP</b>	
	i. Name of the immediate relative of DP	Not Applicable
	ii. PAN of the immediate relative of DP	Not Applicable
	<b>C. Details of transaction(s)</b>	
	i. Name of the scrip	NSE Symbol: <b>J&amp;KBANK</b> BSE Scrip Code: <b>532209</b>
	ii. No of shares traded and value (Rs.) (Date- wise)	i. 410 shares (face value Re. 1) Traded Value Rs. 28,802.50 Date: July 12, 2023
	<b>D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter</b>	
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	Not Applicable
4	Details of violations observed under Code of Conduct	Dealing in shares of Bank during window closure period
5	Action taken by Listed company	Penalty of Rs. 2,000/- imposed and caution letter issued



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S No.	Particulars	Details												
6	Reasons recorded in writing for taking action stated above	In view of lack of understanding of the Code of Conduct in place and keeping in view the miniscule number/value of shares traded, an amount of Rs. 2,000/- imposed as penalty and caution letter issued.												
7	Details of the previous instances of violations, if any, since last financial year	Nil												
8	If any amount collected for Code of Conduct violation(s)													
	i. Mode of transfer to SEBI - IPEF (Online/Demand Draft)	Not Applicable												
	ii. Details of transfer/payment In case of Online:	<table border="1"> <thead> <tr> <th>Particulars</th> <th>Details</th> </tr> </thead> <tbody> <tr> <td>Name of the transferor</td> <td>Altaf Ahmed</td> </tr> <tr> <td>Bank Name, branch and Account number</td> <td>Jammu and Kashmir Bank Limited, Branch: Apex, Account number: 1111010100000142</td> </tr> <tr> <td>UTR/Transaction reference Number</td> <td>JAKA230822943903</td> </tr> <tr> <td>Transaction date</td> <td>August 22, 2023</td> </tr> <tr> <td>Transaction Amount (in Rs.)</td> <td>2,000/-</td> </tr> </tbody> </table>	Particulars	Details	Name of the transferor	Altaf Ahmed	Bank Name, branch and Account number	Jammu and Kashmir Bank Limited, Branch: Apex, Account number: 1111010100000142	UTR/Transaction reference Number	JAKA230822943903	Transaction date	August 22, 2023	Transaction Amount (in Rs.)	2,000/-
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Bank Name, branch and Account number	Jammu and Kashmir Bank Limited, Branch: Apex, Account number: 1111010100000142													
UTR/Transaction reference Number	JAKA230822943903													
Transaction date	August 22, 2023													
Transaction Amount (in Rs.)	2,000/-													
9	Any other relevant information	Nil												

Yours faithfully,

Sd/-

**Mohammad Shafi Mir**  
Compliance Officer

PAN: ALKPM5652R

Email ID: [shafi.mir@jkbmail.com](mailto:shafi.mir@jkbmail.com)

Date: Srinagar

Place: February 27, 2023